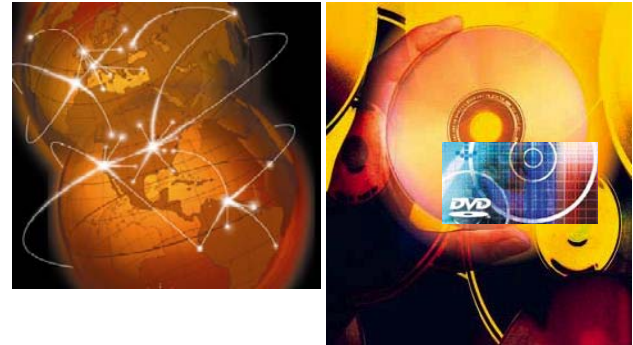


# Chapter-4

## Stimulated emission devices LASERS

### Semiconductor Laser Diodes



### The Road Ahead

- Lasers
  - Basic Principles
  - Applications
- Gas Lasers
- Semiconductor Lasers
- Semiconductor Lasers in Optical Networks
- Improvement in Basic Design
- Recent Advances

### Lasers: Basic Principle

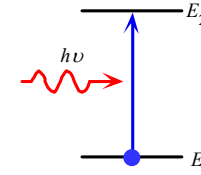
- Light Amplification by Stimulated Emission of Radiation
- Key Terms:
  - Stimulated Emission
  - Metastable State
  - Population Inversion

## Properties of Lasers

- **Monochromaticity**
- **Coherence**
- **Beam Divergence**
- **High Irradiance**
- **Properties vary with type of Lasers:**
  - Gas, Solid, Semiconductor

## Spontaneous and Stimulated Emission

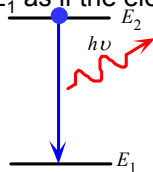
- An electron in an atom can be excited from an energy level  $E_1$  to a higher energy level  $E_2$  by absorption  $\rightarrow$  photon absorption  $h\nu = E_2 - E_1$ .



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## Spontaneous and Stimulated Emission

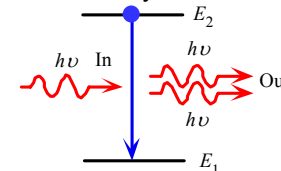
- Two possibilities of emission (an electron moves/transits down in energy to an unoccupied energy level  $\rightarrow$  emits a photon).
  - Spontaneous
  - Induced
- Spontaneous emission: random direction  $\rightarrow$  random photon.
- Transition for  $E_2$  to  $E_1$  as if the electron is oscillating with a frequency  $\nu$ .



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## Spontaneous and Stimulated Emission

- Stimulated emission: incoming photon of energy  $h\nu = E_2 - E_1$  stimulates the whole emission process by inducing the electron at  $E_2$  to transit down to  $E_1$ .
- **Emitted photon:** in phase, same direction, same polarization, same energy with incoming photon  $\rightarrow$  two outgoing photons.
- To obtain stimulated emission  $\rightarrow$  the incoming photon should not be absorbed by another atom at  $E_1$ .



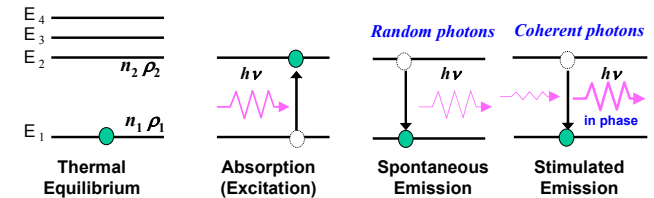
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## Spontaneous and Stimulated Emission

- Although we consider **transitions of an electron in an atom**, we could have just well described **photon absorption, spontaneous and stimulated emission** in term of **energy transitions of the atom itself** in which case  $E_1$  and  $E_2$  represent the energy levels of the atom.
- Consider the collection of atoms to amplify light  $\rightarrow$  we must have the majority of atoms at the energy level  $E_2$ . Otherwise the incoming photon will be absorbed by the atom at  $E_1$ .
- Population inversion**: more atoms at  $E_2$  than at  $E_1$ .
- In **steady state** incoming photon will cause as **many upward excitations as downward stimulated emissions**  $\rightarrow$  for only two energy levels  $\rightarrow$  we never achieve atom population at  $E_2$  greater than  $E_1$ .

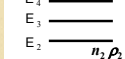
9

## Lasers: Basic Principle Absorption and Radiation Processes



## Lasers: Basic Principle Absorption and Radiation Processes

( $\rho$  : Density of State)



### Boltzmann Distribution

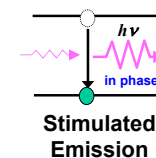
Number of electron at  $E_1$   $n_1 = \rho_1 f(E_1) = \rho_1 e^{[-(E_1 - E_f)/kT]}$

Number of electron at  $E_2$   $n_2 = \rho_2 f(E_2) = \rho_2 e^{[-(E_2 - E_f)/kT]}$

$$\frac{f(E_2)}{f(E_1)} = \frac{n_2 \rho_1}{n_1 \rho_2} = e^{[-(E_2 - E_1)/kT]} \rightarrow \left(\frac{n_2}{\rho_2}\right) = \left(\frac{n_1}{\rho_1}\right) e^{[-(E_2 - E_1)/kT]}$$

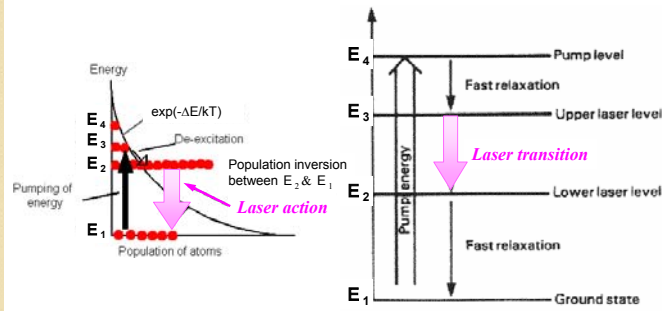
- $(E_2 - E_1) > 0 \rightarrow \left(\frac{n_2}{\rho_2}\right) < \left(\frac{n_1}{\rho_1}\right)$
- If  $\left(\frac{n_2}{\rho_2}\right) > \left(\frac{n_1}{\rho_1}\right)$  can be achieved by **pumping**,  
 $\rightarrow kT < 0$  (negative temperature: **Population inversion**)

## Lasers: Basic Principle Absorption and Radiation Processes



**Coherent photons**  
**In phase**  
**Same energy**  
**Same direction**  
**Same polarization**

## Laser: Basic Principle

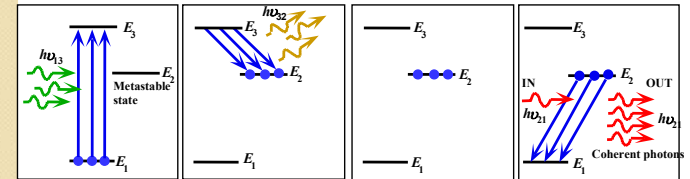


Basic requirement for Lasing action:

*Metastable state, Population inversion, Optical resonant cavity*

## Lasers: Basic Principle

### Lasing Action



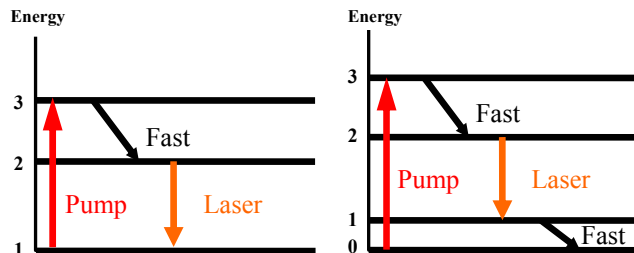
Atoms in the ground state are pumped up to the energy level  $E_3$  by incoming photons of energy  $h\nu_{13}=E_3-E_1$ .

Atoms at  $E_3$  rapidly decay to the metastable state at energy level  $E_2$  by emitting photons or emitting lattice vibrations;  $h\nu_{32}=E_3-E_2$ .

As the states at  $E_2$  are long-lived, they quickly become populated and there is a **population inversion** between  $E_2$  and  $E_1$ .

A random photon (from a spontaneous decay) of energy  $h\nu_{21}=E_2-E_1$  can initiate **stimulated emission**. Photons from this stimulated emission can themselves further stimulate emissions leading to an avalanche of stimulated emissions and coherent photons being emitted.

## Lasers: Basic Principle

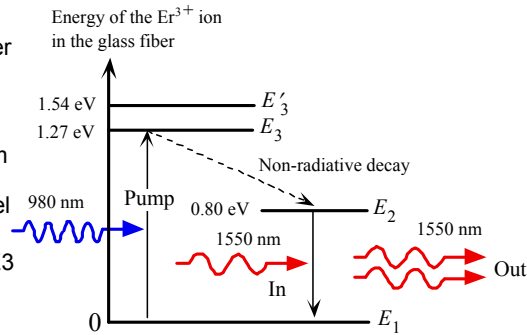


## Optical Fiber Amplifier

- A light signal travels in long distance will suffer attenuation. → It is necessary to regenerate the light signal at certain intervals for long haul communications over several thousand miles.
- Practical optical amplifier is based on the **erbium ion ( $\text{Er}^{3+}$ ) doped fiber amplifier (EDFA)**.
- The core region of an optical fiber is doped with  $\text{Er}^{3+}$  or with neodymium ion ( $\text{Nd}^{3+}$ ).
- The host fiber material is a glass based on  $\text{SiO}_2$ - $\text{GeO}_2$  or  $\text{Al}_2\text{O}_3$ . → Easily fused to a single mode long distance optical fiber by technique called splicing.

## Optical Fiber Amplifier

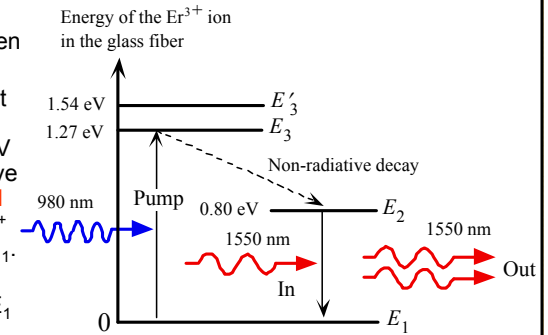
- $\text{Er}^{3+}$  has energy level as indicated in the figure.
- $\text{Er}^{3+}$  is optically pumped from laser diode to excite them to  $E_3$ .
- The  $\text{Er}^{3+}$  ions decay rapidly from  $E_3$  to  $E_2$  (**long-lived**) energy level  $\sim 10$  ms.
- The decay from  $E_3$  to  $E_2$  involves energy losses by radiationless transition (phonon emission).



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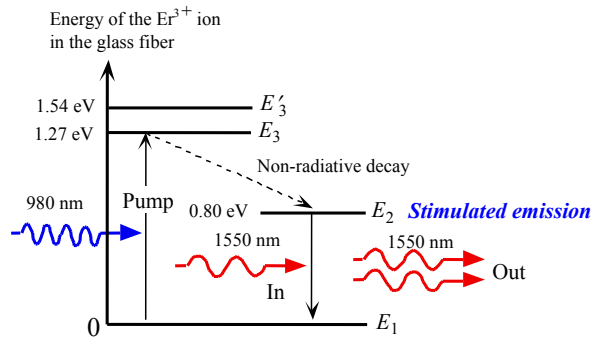
## Optical Fiber Amplifier

- The accumulated  $\text{Er}^{3+}$  ions at  $E_2$  leads to a population inversion between  $E_2$  and  $E_1$ .
- Signal photons at 1550 nm have energy of 0.80 eV ( $E_2 - E_1$ ), and give rise to **stimulated transitions** of  $\text{Er}^{3+}$  ions from  $E_2$  to  $E_1$ .
- Meanwhile, any  $\text{Er}^{3+}$  ions left at  $E_1$  will **absorb** in incoming 1550 nm photons to reach  $E_2$ .



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## Optical Fiber Amplifier EDFA: Er-doped Optical Amplifier

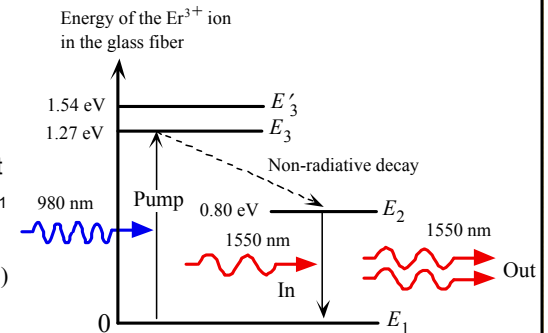


Energy diagram for the  $\text{Er}^{3+}$  ion in the glass fiber medium and light amplification by stimulated emission from  $E_2$  to  $E_1$ . Dashed arrows indicate radiationless transitions (energy emission by lattice vibrations)

## Optical Fiber Amplifier

- Thus, to achieve light amplification we must have **stimulated emission** exceeding **absorption**.  $\rightarrow$  Only possible if more  $\text{Er}^{3+}$  ions at  $E_2$  ( $N_2$ ) than at  $E_1$  ( $N_1$ ).

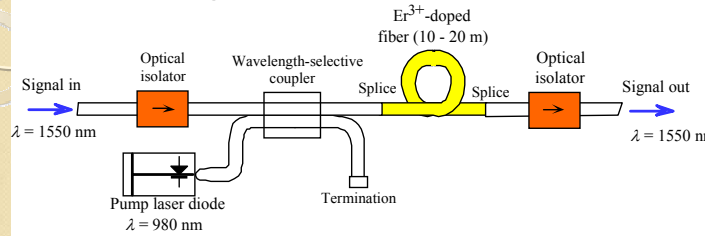
• The **net optical gain**  $G_{\text{net}} = K(N_2 - N_1)$



where  $K$  is constant which depends on

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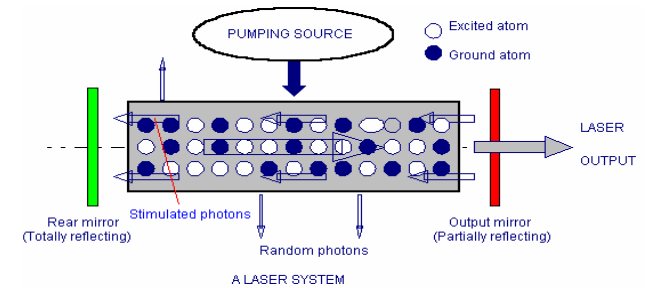
## Optical Fiber Amplifier



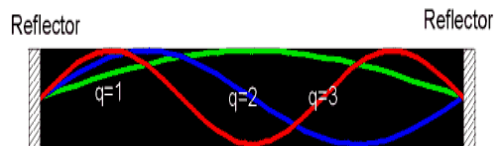
A simplified schematic illustration of an EDFA (optical amplifier). The erbium-ion doped fiber is pumped by feeding the light from a laser pump diode, through a coupler, into the erbium ion doped fiber.

- Optical oscillators are inserted at the entry and exit to allow ONLY the optical signals at 1550 nm to pass in one direction and prevent the 980 pump light from propagating back or forward into the communication system.
- Energy level  $E_1$ ,  $E_2$ , and  $E_3$  are not single unit levels, but rather consists of closely spaced collection of several levels  $\rightarrow$  range of stimulated transitions from  $E_2$  to  $E_1$  (1525 – 1565 nm) with 40 nm optical bandwidth  $\rightarrow$  wavelength division multiplexed system (WDM) systems.

## Laser: Basic Principle

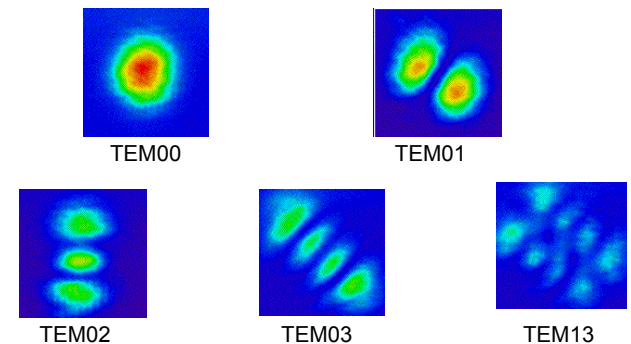


## Laser: Basic Principle Longitudinal Modes



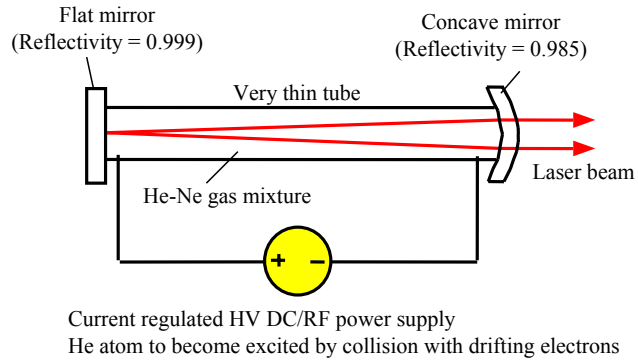
A lot of wavelengths are produced, but only some are amplified.

## Laser: Basic Principle Transverse Modes



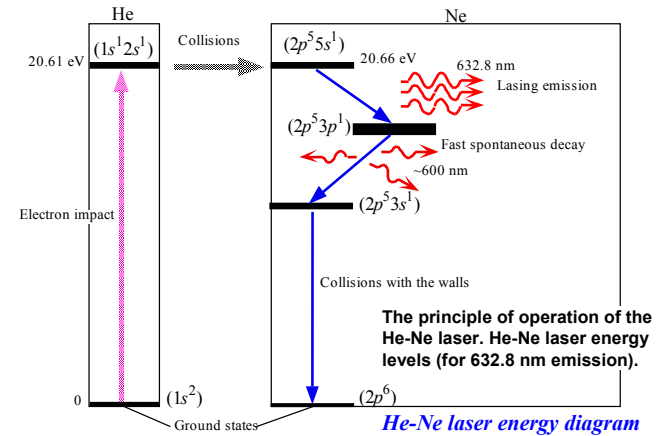
## Lasers: Gas Lasers

### He-Ne Lasers



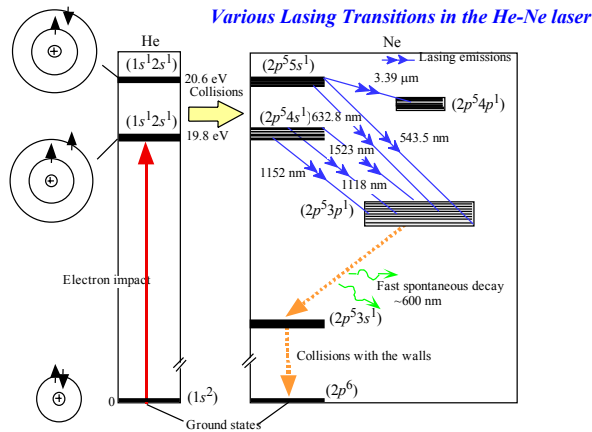
## Lasers: Gas Lasers

### He-Ne Lasers



## Lasers: Gas Lasers

### He-Ne Lasers



$$\text{Overall efficiency} = \frac{\text{Optical Power Output}}{\text{Electrical Power Input}} \times 100\%$$

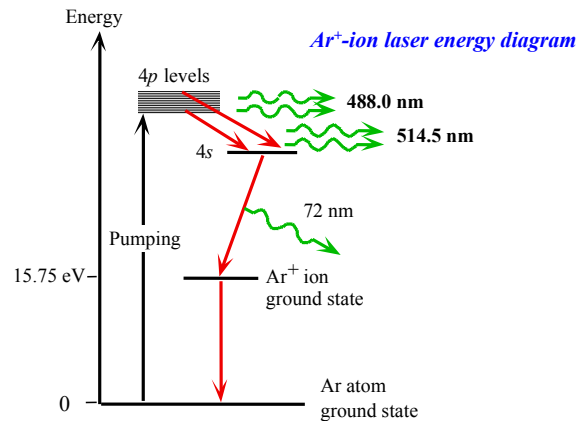
### Typical commercial He-Ne laser characteristics

| Wavelength (nm)                   | 543.5    | 594.1   | 612     | 632.8   | 1523     |
|-----------------------------------|----------|---------|---------|---------|----------|
|                                   | Green    | Yellow  |         | Red     | Infrared |
| Optical output power (mW)         | 1.5      | 2       | 4       | 5       | 1        |
| Typical current (mA)              | 6.5      | 6.5     | 6.5     | 6.5     | 6        |
| Typical voltage                   | 2750     | 2070    | 2070    | 1910    | 3380     |
| Overall efficiency = $P_{out}/IV$ | 0.0084 % | 0.015 % | 0.030 % | 0.040 % | 0.005 %  |



## Lasers: Gas Lasers

### Ar<sup>+</sup>-ion Lasers



## Gas Lasers: The He-Ne LASER

- By using dc or RF high voltage, **electrical discharge** is obtained within the tube which causes the He atoms to become excited by collisions with the drifting electrons,  

$$\text{He} + e^- \rightarrow \text{He}^* + e^-$$
- The excited He atom, He\*, cannot spontaneously emit a photon → large number of He\* atoms build up during the electrical discharge.
- When He\* collides with a Ne atom, it transfers its energy to the Ne atom by resonance energy exchange.  

$$\text{He}^* + \text{Ne} \rightarrow \text{He} + \text{Ne}^*$$
- A spontaneous emission of a photon from one Ne\* atom gives rise to an avalanche of stimulated emission process → lasing emission with a wavelength 632.8 nm in the red.

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## Gas LASER Output Spectrum

- Doppler effect** → resulting the broadening of the emitted spectrum → output radiation from gas laser covers a spectrum of wavelengths with a central peak.
- Given the average K.E. of  $(3/2)kT$ , radiation freq.  $\nu_0$  (as source frequency), due to Doppler effect, **when gas atom is moving away from the observer**, the latter detects a lower frequency  $\nu_1$

$$\nu_1 = \nu_0 \left( 1 - \frac{v_x}{c} \right)$$

where  $v_x$  is the relative velocity of the atom along the laser tube (x-axis) with respect to observer.

- When atom moving towards the observer**, the detected freq  $\nu_2$  is higher:

$$\nu_2 = \nu_0 \left( 1 + \frac{v_x}{c} \right)$$

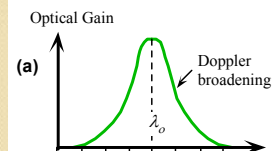
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## Gas LASER Output Spectrum

- Since the atoms are in random motion the observer will detect a range of frequencies due to Doppler effect.
- Resulting the frequency or wavelength of the output radiation from a gas laser will have a "**linewidth**"  $\Delta\nu = \nu_2 - \nu_1$ . It is called **Doppler broadened linewidth**.
- Stimulated emission wavelength of lasing medium or **optical gain** has distribution around  $\lambda_0 = c/\nu_0$ .
- The full width at half maximum **FWHM** in the output intensity vs. frequency spectrum is:

$$\Delta\nu_{1/2} = 2\nu_0 \sqrt{\frac{2kT \ln(2)}{Mc^2}}$$

where M is mass of lasing atom or molecule

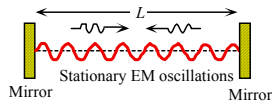


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## Gas LASER Output Spectrum

- Let consider an **optical cavity of length L** with parallel end mirrors (etalon – Fabry-Perot optical resonator).



- Any standing wave in the cavity must have an integer number of half-wavelengths  $\lambda/2$  that fit into the cavity length L,

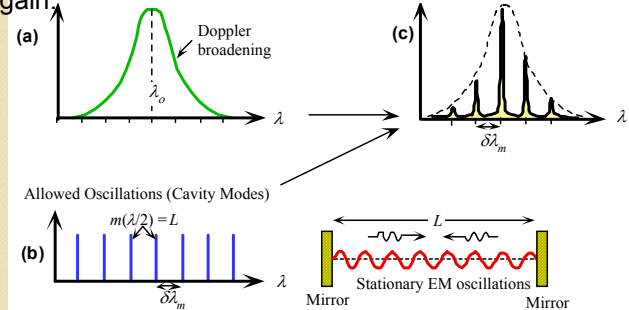
$$m\left(\frac{\lambda}{2}\right) = L \quad \text{where } m \text{ is mode number of the standing wave.}$$

- Cavity mode:** each possible standing wave within the cavity (laser tube) which satisfy the above equation.
- Axial (longitudinal) modes:** existing modes along the cavity axis.

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## Gas LASER Output Spectrum

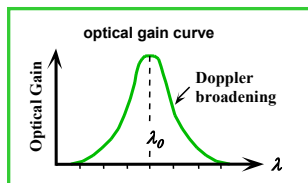
- The laser output thus has broad spectrum with peaks at certain wavelengths corresponding to various cavity modes existing within the Doppler broadened optical gain.



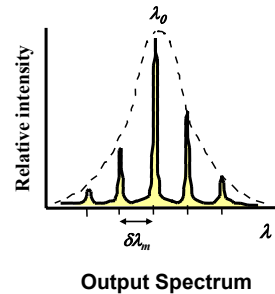
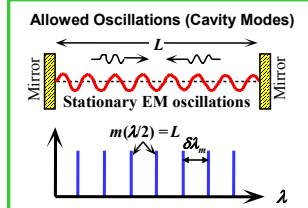
The output spectrum is determined by satisfying (a) and (b) simultaneously.

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## Lasers: Basic Principle Output Spectrum of Laser

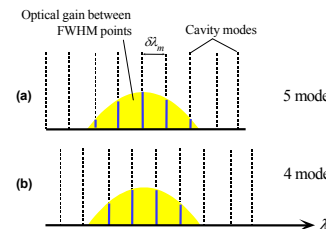


+



Output Spectrum

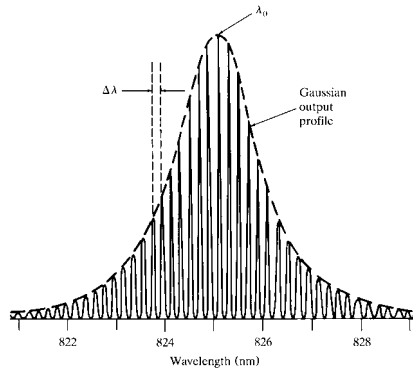
## Lasers: Basic Principle Optical Gain



Number of laser modes depends on how the cavity modes intersect the optical gain curve. In this case we are looking at modes within the linewidth  $\Delta\lambda_{1/2}$ .

## Lasers: Basic Principle

### Fabry-Perot laser spectrum



## Example:

A typical low power 5mW He-Ne laser tube operate at a DC voltage of 2000V and carrier a current of 7mA . What is the efficiency of the laser?

Solution:

Efficiency=output light power/Input Electric power  
 $= 5 \times 10^{-3} \text{W} / (7 \times 10^{-3} \text{A})(2000 \text{V})$   
 $= 0.036\%$

Note that 5mW over a beam diameter of 1mm is  $6.4 \text{kW/m}^2$

**4.2 The He-Ne Laser** A particular He-Ne laser operating at 632.8 nm has a tube that is 50 cm long. The operating temperature is 130 °C

**a** Estimate the Doppler broadened linewidth ( $\Delta\lambda$  in the output spectrum.

**b** What are the mode number  $m$  values that satisfy the resonant cavity condition? How many modes are therefore allowed?

**c** What is the separation  $\Delta\nu_m$  in the frequencies of the modes? What is the mode separation  $\Delta\lambda_m$  in wavelength.

## Solution

**a** The central emission frequency is

$$\nu_0 = c/\lambda_0 = (3 \times 10^8 \text{ m s}^{-1}) / (632.8 \times 10^{-9} \text{ m}) = 4.74 \times 10^{14} \text{ s}^{-1}.$$

The FWHM width of the frequencies  $\Delta\nu_{1/2}$  observed will be given by Eq. (3)

$$\Delta\nu_{1/2} = 2\nu_0 \sqrt{\frac{2k_B T \ln(2)}{Mc^2}} = 2(4.748 \times 10^{14}) \sqrt{\frac{2(1.38 \times 10^{-23})(130 + 273) \ln(2)}{(3.35 \times 10^{-26})(3 \times 10^8)^2}}$$

$$= 1.515 \text{ GHz}$$

To get FWHM wavelength width  $\Delta\lambda_{1/2}$ , differentiate  $\lambda = c/\nu$

$$\frac{d\lambda}{d\nu} = \frac{c}{\nu^2} = -\frac{\lambda}{\nu}$$

$$\text{so that } \Delta\lambda_{1/2} \approx \Delta\nu_{1/2} \lambda / \nu = (1.515 \times 10^9 \text{ Hz})(632.8 \times 10^{-9} \text{ m}) / (4.74 \times 10^{14} \text{ s}^{-1})$$

$$\text{or } \Delta\lambda_{1/2} \approx 2.02 \times 10^{-12} \text{ m or } 0.00202 \text{ nm.}$$

This width is between the half-points of the spectrum.

b For  $\lambda = \lambda_o = 632.8 \text{ nm}$ , the corresponding mode number  $m_o$  is,

$$m_o = 2L / \lambda_o = (2 \times 0.5 \text{ m}) / (632.8 \times 10^{-9} \text{ m}) = 1580278.1$$

and actual  $m_o$  has to be the closest integer value to 1580278.1, that is 1580278

Consider the minimum and maximum wavelengths corresponding to the extremes of the spectrum at the half-power points:

$$\lambda_{\min} = \lambda_o - \frac{1}{2} \Delta \lambda = 632.798987$$

and

$$\lambda_{\max} = \lambda_o + \frac{1}{2} \Delta \lambda = 632.801012$$

c The frequency separation  $\Delta \nu_m$  of two consecutive modes is

$$\Delta \nu_m = \nu_{m+1} - \nu_m = \frac{c}{\lambda_{m+1}} - \frac{c}{\lambda_m} = \frac{c}{2L} - \frac{c}{2L} = \frac{c}{2L}$$

or

$$\Delta \nu_m = \frac{c}{2L} = \frac{3 \times 10^8}{2(0.5)} = 3 \times 10^8 \text{ Hz.}$$

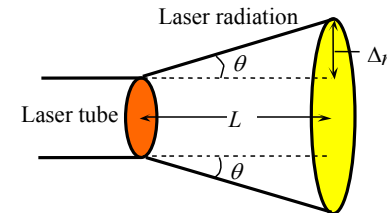
The wavelength separation of two consecutive modes is

$$\Delta \lambda_m = \frac{\lambda_m^2}{2L} = \frac{(632.8 \times 10^{-9})^2}{2(0.5)} = 4.004 \times 10^{-13} \text{ m or } 0.4004 \text{ pm.}$$

Note:

$$\text{Modes} = \frac{\text{Linewidth of spectrum}}{\text{Separation of two modes}} \approx \frac{\Delta \lambda_{1/2}}{\Delta \lambda_m} = \frac{2.02 \text{ pm}}{0.4004 \text{ pm}} = 5.04.$$

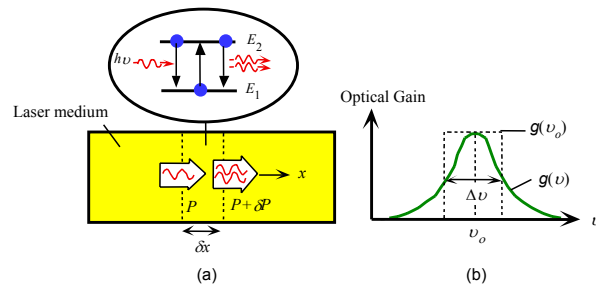
## Lasers: Laser beam Divergence Output Spectrum of a Gas Laser



The output laser beam has a divergence characterized by the angle  $2\theta$  (highly exaggerated in the figure)

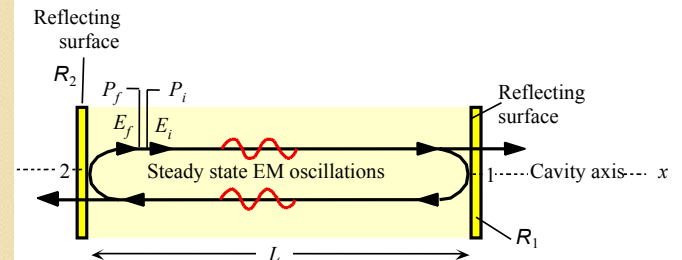
$\Delta r = L \tan \theta$ . What is the diameter of the beam at a distance of 10m, if divergence is 1mrad?

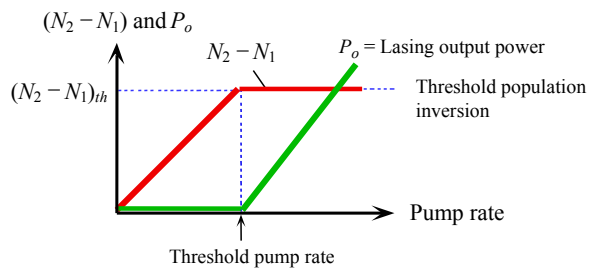
## Lasers: Basic Principle Optical Gain



(a) A laser medium with an optical gain (b) The optical gain curve of the medium. The dashed line is the approximate derivation in the text.

## Lasers: Basic Principle Optical cavity resonator





Simplified description of a laser oscillator.  $(N_2 - N_1)$  and coherent output power ( $P_o$ ) vs. pump rate under continuous wave steady state operation.